



4.2812

PATENT

Attorney Docket No.: SAM-0256

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kwean, *et al.* Examiner: Not yet assigned
Serial No.: 09/993,832 Group Art Unit: 2812
Filing Date: November 6, 2001
Title: ETCHING GAS COMPOSITION OF SILICON OXIDE AND METHOD OF
ETCHING SILICON OXIDE USING THE SAME

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner For Patents, Washington, DC 20231.

February 11, 2002
Date

Denise M. Donahue
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Assistant Commissioner for Patents
Washington, DC 20231

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Information Disclosure Statement;
2. Form PTO-1449 and copy of cited reference AB (Complete Copy); and
3. Return Postcard

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: February 11, 2002
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900, Ext. 4902
Facsimile: (617) 742-7774

Anthony P. Onello, Jr.
Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants

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Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

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Statement Under 37 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for reference__ is satisfied by:
- ☐ the explanation provided on the attached search report.
- ☐ the explanation provided in the Specification.
- ☐ abstract.

The "concise explanation" requirement for reference ____ is satisfied by submission of reference ____.

It is requested the information disclosed herein be made of record in this application.

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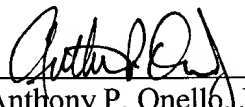
Applicant(s): Kwean, *et al.*
U.S. Serial No.: 09/993,832

Method of payment:

- ☐ Enclosed is a check in the amount of _____.
- ☐ Please charge Deposit Account of 50-1798 in the amount of _____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: February 11, 2002
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
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Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants

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Subst. Form PTO-1449

Docket Number (Optional)

SAM-0256

Application Number

09/993,832

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Applicant

Kwean, et al.

Filing Date

11/06/01

Group Art Unit

2812

(Use several sheets if necessary)

U. S. Patent Documents

EXAMINE R		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF
	AA						
	AB	5,429,710	07/04/95	Akiba, et al.	216	17	02/16/94
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS S	Translation	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

